

LIVELSBERGER FINANCIAL ADVISORY

CLIENT PRIVACY STATEMENT

July 2024

Livelsberger Financial Advisory, an independent financial planning firm, is committed to safeguarding the confidential information of its clients. We hold all personal information provided to our firm in the strictest of confidence. These records include all personal information that we collect from you in connection with any of the services provided by Livelsberger Financial Advisory. We do not disclose information to non-affiliated third parties, except as permitted by law, and we do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited by law from doing so without advising you first of such an event. As you know, we use the health and financial information you provide to us, in an effort to help you meet your personal financial goals, while guarding against any real or perceived infringements of your rights of privacy. With respect to your personal information, our company policy includes the following:

- We limit employee and agent access to information for only those who have a business or professional reason for knowing and only to non-affiliated parties as permitted by law. For example, federal regulations permit us to share a limited amount of your information with a brokerage firm, to execute securities transactions on your behalf or so that our firm may discuss your financial situation with your accountant, attorney or other professional.
- We maintain a secure office and computer system environment to ensure that your information is safeguarded and not placed at any unreasonable risk.
- The categories of non-public personal information that we collect from each client depend upon the scope of the client engagement. These will include information regarding your personal finances, your personal health information (to the extent it is needed for the planning process), information about transactions between you and third parties, as well as information from consumer reporting agencies.
- For unaffiliated third parties that require access to your personal information (including financial services companies, consultants, and auditors), we also require strict confidentiality to our agreements with them and expect them to keep this information private. Federal and state regulators also may review firm records as permitted by law.
- We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.

- All personally identifiable information regarding you will be securely maintained during the time you are a client, and for the required time thereafter. Such records are required to be retained by federal and state securities laws. After this required period of record retention has expired, all such information will be destroyed.

. Please review the information below to better understand the information we share, why we share that information, and whether you may limit our sharing of this information.

Reasons we can share your personal information	Do we share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes - to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	Not Applicable
For our affiliates' everyday business purposes – information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes – information about your creditworthiness	No	Not Applicable
For our affiliates to market to you	No	Not Applicable
For non-affiliates to market to you	No	Not Applicable

YOUR RIGHTS:

- Right to Access Information/Correct Inaccurate Information
 - You have the right to request access to information collected about you and information regarding the purposes for which we collect it and the third parties and service providers with which we share it. Additionally, you have the right to correct inaccurate or incomplete information. You may submit such a request as described below.
- Right to Deletion of Information
 - You have the right to request in certain circumstances that we delete any information that we have collected directly from you. You may submit such a request as described below. We may have a reason under the law why we do not have to comply with your request or why we may comply in a more limited way than you anticipated. If we do, we will explain that to you in our response.
- Right to Opt Out of Sale of Information to Third Parties

- You have the right to opt out of any sale of your information by us to third parties by submitting a request. We do not, however, sell information to third parties for their own direct marketing purposes.
- Right to Opt Out of Targeted Advertising
 - You have the right to opt out of targeted advertising based on your information obtained from your activities over time and across websites or applications. We do not, however, utilize targeted advertising.
- Right to Opt Out of Profiling
 - You have the right to opt out of having your information processed for the purpose of profiling in the furtherance of decisions that produce legal or similarly significant effects concerning you. We do not, however, utilize profiling for these reasons.
- Right to Appeal
 - If we decline to take action in any request that you submit in connection with the rights described in the above sections, you may ask that we reconsider our response by sending an email to the same email box (referenced in section below) from which you receive the decision. You must ask us to reconsider our decision within 45 days after we send you our decision.

Permission to Share Health Information With A Non-Affiliated Third Party

State law prohibits Livelsberger Financial Advisory from sharing any of your personal health information with a third party without your permission. You have the right to not permit us the authority to share this information with anyone else. As a financial planning firm, we will consult with you before we discuss your specific life, medical, disability and/or long-term care insurance company or other insurance professionals.

ANY QUESTIONS OR CONCERNS: Should you have any questions regarding the above, or should you wish to appeal any refusal regarding limiting our sharing, please contact Lynn Livelsberger, Chief Compliance Officer, at info@livelsbergerfinancial.com.

Sincerely,

Lynn Livelsberger
President/CEO
Livelsberger Financial Advisory